



Australian Standard Transfer Form

For Non-Market Transactions: This form is for transfer between Issuer sponsored to Issuer sponsored holdings ONLY

Note: Any Alteration must be initialled by the sell/s and the buyer/s.

Any increase to the quantity of securities been transferred is not accepted even if initialled.

Correction fluid or tape must not be used.

Uncertificated Issuer Sponsored Holdings- Please return the original form to the Issuer's registry.

Form fields 1-12: Full Name of Company or Corporation, State or Territory of Incorporation, Description of Securities, Class, Register, Quantity, Full name(s) of SELLER(S), SELLER(S) Securityholder Reference No., Consideration, Full name(s) of BUYER(S), Full postal address of BUYER(S), BUYER(S) Securityholder Reference No., Register Request.

I/We the registered holder(s) and undersigned seller(s) for the above consideration do hereby transfer to the above name(s) hereinafter called the Buyer(s) the securities as specified above standing in my/our name(s) in the books of the above-named Company, subject to the several conditions on which I/We held the same at the time of signing hereof and I/We the Buyer(s) do hereby agree to accept the said securities subject to the same conditions. I/we have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which the transfer is signed.

Form fields 13-14: Seller(s) Sign Here, Buyer(s) Sign Here, Signature Of Individual Shareholder(s), Companies Only - Executed in accordance with the Company's Constitution and the Corporations Act 2001.

Note: If signed under Power of Attorney, a Certified Copy of the relevant Power of Attorney document must be exhibited to the Registry.

# How to Complete the Australian Standard Transfer Form for an ASX Listed Company or Trust

- 1. Name of Company**  
The full name of the company or trust in which the securities are held.
  - 2. State of Incorporation/Principal Register**  
State in which the Company (refer 2) is incorporated or the Principal Register of a trust. This can be found on the statement, certificate or other notices received from the company or trust.
  - 3. Description of Securities**  
e.g. Fully Paid Ordinary Shares, Options (expiry date), 9% Unsecured Convertible Notes etc... A separate transfer form is required for each class of security.
  - 4. Register**  
The state on which the seller's holding is registered. This can be found on the statement or certificate. This section is mandatory only for securities of a company incorporated outside Australia.
  - 5. Quantity**  
Number of securities being transferred (in both words and figures).
  - 6. Full Name(s) of Seller(s) (Full names must be included)**  
Deceased Estates should be entered: "(the full names of the executors) as Executor(s) of the Estate of (full name of deceased securityholder)".  
**Email**  
An email address is to be stated for communication purposes.
  - 7. Securityholder Reference Number (SRN) of Seller**  
This number can be found on the securities statement or certificates. For Issuer Sponsored Holdings (uncertificated), this number must be quoted.
  - 8. Consideration & Date of Purchase**  
Is the full amount paid in settlement of the transfer of securities. Purchase should reflect the market price of shares as at the date of purchase. (Refer to 1 above if not a purchase or for the full market value). Market values are quoted in the financial or business section of daily newspapers.
  - 9. Full Name(s) of the Buyer(s)**  
Full names of all buyers (a maximum of three joint holders). Securities may not be registered in the name(s) of a partnership or business name, a fund or a trust, an estate or deceased person or a person under 18 years of age.  
**Email**  
An email address is to be stated for communication purposes.
  - 10. Full Postal Address of Buyer(s)**  
Insert full address including the postcode. Only one address may be recorded.
  - 11. Securityholder Reference Number (SRN) of Buyer**  
For existing securityholder the requirements are as above under (8).
  - 12. Register Request**  
Insert register on which the buyer's shares should be registered (the shares will be recorded on this register if the company maintains a branch register in that state).
  - 13. Seller(s) Signature(s) & Date Signed**
    - i) *Joint holders -* All holders must sign.
    - ii) *Under Power of Attorney -* If not already noted by the **Registry Office** a certified copy of this document must be included.
    - iii) *Deceased Estate -* All executors should sign and, if not already noted by the **Registry Office** a certified copy of Probate or Letters of Administration, must be included.
    - iv) *Company -* Signed by 2 Directors or a Director and a Secretary in accordance with Company's Constitution. If the Company's Constitution states that only one signature is required, if not already noted by the **Registry Office** a copy of this document must be included. This is not required where the company is "Sole Director & Sole Secretary company". Signing capacity must always be included. Insert date signed by seller.
  - 14. Buyer(s) Signature(s) & Date Signed**
    - i) *Joint holders -* All holders must sign.
    - ii) *Under Power of Attorney -* A certified copy of this document, must be included if not previously noted by the Registry Office.
    - iii) *Company -* Requirements are as above under 14(iv).
    - iv) *Insert date signed by buyer.*
- Note 1** Copies of documents forwarded must be certified as a true copy by a person who in the State or Territory of certification has the power to witness a Statutory Declaration.
- Note 2** Transfers or other documents that do not fully meet the company's requirements are liable to return, without registration.
- Note 3** Where the seller or buyer is CHESSE sponsored, this form must be forwarded to the CHESSE sponsoring broker or non-broker participant.